

# Tunnel determinants from zeta functions. Instanton and bounces in quantum mechanics

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# Outline

- 1 Instantons in quantum mechanics
- 2 Zeta functions and tunnel determinants
- 3 One-instanton determinants from the heat trace asymptotic expansion
- 4 False vacua and bounces

# Tunnel effect through quantum mechanical instantons

- Euclidean action

$$S_E[x] = \lim_{T \rightarrow \infty} \int_{-\frac{T}{2}}^{\frac{T}{2}} dx \left\{ \frac{1}{2} \left( \frac{dx}{d\tau} \right)^2 + U[x(\tau)] \right\} , \quad \tau \in \left( -\frac{T}{2}, \frac{T}{2} \right)$$

- Classically degenerate ground states

$$\left. \frac{dU}{dx} \right|_{x=x^{(a)}} = 0 , \quad U(x^{(a)}) = 0 , \quad a = 1, 2, \dots, N \quad \Rightarrow |x^{(a)}\rangle$$

- Tunnel effect path integral formula

$$\hat{H} = -\frac{\hbar^2}{2} \frac{d^2}{dx^2} + U(x) , \quad \langle x^{(a+1)} | e^{[-\frac{T}{\hbar} \hat{H}]} | x^{(a)} \rangle = N \int \mathcal{D}[x(\tau)] e^{-\frac{S_E[x]}{\hbar}}$$

- Instanton: finite Euclidean action classical trajectories:

$$\frac{dx}{d\tau} = \sqrt{2U(x)} \quad \equiv \quad \tau = \tau_0 + \int \frac{dx}{\sqrt{2mU(x)}}$$

$$\lim_{\tau \rightarrow -\infty} \bar{x}(\tau) = x^{(a)} , \quad \lim_{\tau \rightarrow \infty} \bar{x}(\tau) = x^{(a+1)} , \quad S[\bar{x}] = \int_{x^{(a)}}^{x^{(a+1)}} dx \sqrt{2U(x)} = S_0 < +\infty .$$

# Steepest descent method: semiclassical approximation

- One-instanton contribution

$$\langle x^{(a+1)} | e^{-\frac{T}{\hbar} H} | x^{(a)} \rangle \simeq N e^{-S(\bar{x})} \text{Det } \mathbb{L}^{-\frac{1}{2}} (1 + \mathcal{O}(\hbar))$$

$$\mathbb{L} = -\frac{d^2}{d\tau^2} + v^2 + V(\tau) \quad , \quad v^2 + V(\tau) = \frac{d^2 U}{dx^2}[\bar{x}(\tau)] \quad , \quad v^2 = \frac{d^2 U}{dx^2}[x^{(a)}] \quad , \quad \forall a$$

- Zero mode: quotient of determinants for the Polyakov-Coleman dilute instanton gas

$$\Psi_0(\tau) = \frac{1}{\sqrt{S_0}} \frac{d\bar{x}}{d\tau} \quad , \quad \mathbb{L}_0 = -\frac{d^2}{d\tau^2} + v^2 \quad , \quad K = \left( \frac{S_0}{2\pi\hbar} \right)^{\frac{1}{2}} \left| \frac{\text{Det } \mathbb{L}_0}{\text{Det}' \mathbb{L}} \right|^{\frac{1}{2}}$$

- Ray-Singer regularization of functional determinants

$$\frac{\text{Det} \mathbb{L}}{\text{Det} \mathbb{L}_0} = \exp \left[ \frac{d\zeta_{\mathbb{L}_0}}{ds}(0) - \frac{d\zeta_{\mathbb{L}}}{ds}(0) \right]$$

# Heat function, Mellin's transform, zeta function

- The  $\mathbb{L}_0$ - and  $\mathbb{L}$ -heat traces

$$h_{\mathbb{L}_0}(\beta) = \frac{1}{2}e^{-v^2\beta} + \frac{T}{2\pi} \int_{-\infty}^{\infty} dk e^{-(k^2+v^2)\beta}$$

$$h_{\mathbb{L}}(\beta) = 1 + \sum_{j=1}^{N-1} e^{-\omega_j^2\beta} + s_N e^{-\omega_N^2\beta} + \int_{-\infty}^{\infty} dk \rho_{\mathbb{L}}(k) e^{-(k^2+v^2)\beta}$$

$$s_N = \frac{1}{2} \text{ if } \omega_N^2 = v^2 \quad , \quad s_N = 1 \text{ if } \omega_N^2 < v^2$$

- Mellin transforms and spectral zeta functions:

$$\zeta_{\mathbb{L}_0}(s) = \frac{1}{\Gamma(s)} \int_0^{\infty} d\beta \beta^{s-1} h_{\mathbb{L}_0}(\beta) \quad , \quad \zeta_{\mathbb{L}}(s) = \frac{1}{\Gamma(s)} \int_0^{\infty} d\beta \beta^{s-1} h_{\mathbb{L}}(\beta) \quad .$$

- Main tools: total phase shifts and spectral densities

$$\rho_{\mathbb{L}_0}(k) = \frac{T}{2\pi} \quad , \quad \rho_{\mathbb{L}}(k) = \frac{T}{2\pi} + \frac{1}{2\pi} \frac{d\delta}{dk}(k)$$

# The simple pendulum: $U(x) = mgl \left(1 - \cos \sqrt{\frac{g}{l}}x\right)$

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$$S(z) = ml \int du \left( \frac{1}{2} \frac{dz}{du} \frac{dz}{du} + (1 - \cos z) \right) , \quad z = \sqrt{\frac{g}{l}}x , \quad u = g\tau$$

• Instanton and instanton well

$$z^{(0)} = 0 , \quad \bar{z}(u) = 4 \arctan e^u$$
$$\mathbb{L}_0 = -\frac{d^2}{du^2} + 1 , \quad \mathbb{L} = -\frac{d^2}{du^2} + 1 - \frac{2}{\cosh^2 u}$$

• Phase shifts, spectral density, heat and zeta functions

$$\delta(k) = 2 \arctan \frac{1}{k} , \quad \rho_{\mathbb{L}}(k) - \rho_{\mathbb{L}_0}(k) = -\frac{1}{\pi} \frac{1}{k^2 + 1}$$
$$h_{\mathbb{L}_0}(\beta) = \frac{T}{\sqrt{4\pi\beta}} e^{-\beta} , \quad h_{\mathbb{L}}(\beta) = \frac{T}{\sqrt{4\pi\beta}} e^{-\beta} - \text{Erfc}[\sqrt{\beta}]$$
$$\zeta_{\mathbb{L}_0}(s) = \frac{T}{\sqrt{4\pi}} \frac{\Gamma(s - \frac{1}{2})}{\Gamma(s)} , \quad \zeta_{\mathbb{L}}(s) = \frac{T}{\sqrt{4\pi}} \frac{\Gamma(s - \frac{1}{2})}{\Gamma(s)} - \frac{1}{\sqrt{\pi}} \frac{\Gamma(s + \frac{1}{2})}{\Gamma(s + 1)} .$$

# The simple pendulum

- Zeta function derivatives

$$\frac{d\zeta_{\mathbb{L}_0}}{ds}(s) = \frac{l}{\sqrt{4\pi}} \frac{\Gamma(s - \frac{1}{2})}{\Gamma(s)} \left[ \psi(s - \frac{1}{2}) - \psi(s) \right]$$

$$\frac{d\zeta_{\mathbb{L}}}{ds}(s) - \frac{d\zeta_{\mathbb{L}_0}}{ds}(s) = \frac{1}{\sqrt{\pi}} \frac{\Gamma(s + \frac{1}{2})}{\Gamma(s + 1)} \left[ H_s - H_{s - \frac{1}{2}} \right]$$

$$\frac{d\zeta_{\mathbb{L}_0}}{ds}(\varepsilon) - \frac{d\zeta_{\mathbb{L}}}{ds}(\varepsilon) = \gamma_E + \psi\left(\frac{1}{2}\right) + \left[ \frac{1}{3}\pi^2 + \gamma_E^2 + \psi\left(\frac{1}{2}\right) \left( 2\gamma_E + \psi\left(\frac{1}{2}\right) \right) \right] \varepsilon + \mathcal{O}(\varepsilon^2)$$

- Quantum pendulum tunnel effect determinant

$$\gamma_E + \psi\left(\frac{1}{2}\right) = \log \frac{1}{4} \quad \Rightarrow \quad K = \frac{\text{Det } \mathbb{L}}{\text{Det } \mathbb{L}_0} = \frac{1}{\omega^2} \exp \left[ \frac{d\zeta_{\mathbb{L}_0}}{ds}(0) - \frac{d\zeta_{\mathbb{L}}}{ds}(0) \right] = \frac{1}{4\omega^2} \quad , \quad \omega^2 = \frac{g}{l}$$

# The double well: $U(x) = \frac{m}{2a^4} (x^2 - a^2)^2$

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$$S(z) = ma \int du \left( \frac{1}{2} \frac{dz}{du} \frac{dz}{du} + \frac{1}{2} (z^2 - 1)^2 \right) , \quad z = \frac{x}{a} , \quad u = a\tau$$

- Instanton and instanton well

$$z^{(0)} = -1 , \quad \bar{z}(u) = \tanh u$$

$$\mathbb{L}_0 = -\frac{d^2}{du^2} + 4 , \quad \mathbb{L} = -\frac{d^2}{du^2} + 4 - \frac{6}{\cosh^2 u}$$

- Bound states, phase shifts, spectral density, heat and zeta functions

$$\lambda_0 = 0 , \quad f_0(u) = \operatorname{sech}^2 u , \quad \lambda_3 = 3 , \quad f_3(u) = \tanh u \operatorname{sech}^2 u$$

$$\delta(k) = -2 \arctan \frac{3k}{2 - k^2} , \quad \rho_{\mathbb{L}}(k) - \rho_{\mathbb{L}_0}(k) = -\frac{1}{\pi} \left( \frac{1}{k^2 + 1} + \frac{2}{k^2 + 4} \right)$$

$$h_{\mathbb{L}_0}(\beta) = \frac{T}{\sqrt{4\pi\beta}} e^{-4\beta} , \quad h_{\mathbb{L}}(\beta) = \frac{T}{\sqrt{4\pi\beta}} e^{-4\beta} + e^{-3\beta} \operatorname{Erf}[\sqrt{\beta}] - \operatorname{Erfc}[2\sqrt{\beta}]$$

$$\zeta_{\mathbb{L}}(s) - \zeta_{\mathbb{L}_0}(s) = \frac{\Gamma(s + \frac{1}{2})}{\sqrt{\pi}\Gamma(s)} \left[ \frac{2}{3^{s+\frac{1}{2}}} {}_2F_1\left[\frac{1}{2}, s + \frac{1}{2}, \frac{3}{2}; -\frac{1}{3}\right] - \frac{1}{4^s} \frac{1}{s} \right]$$



# The double well

- Zeta function derivatives

$$\begin{aligned} & \frac{d\zeta_{\mathbb{L}}}{ds}(s) - \frac{d\zeta_{\mathbb{L}_0}}{ds}(s) = \\ &= \frac{1}{\sqrt{\pi}} \frac{\Gamma[s + \frac{1}{2}]}{\Gamma[s]} \left[ -2 \cdot 3^{-\frac{1}{2}-s} {}_2F_1\left[\frac{1}{2}, \frac{1}{2} + s, \frac{3}{2}; -\frac{1}{3}\right] \left( \log 3 + \psi(s) - \psi\left(\frac{1}{2} + s\right) \right) + \right. \\ &+ \left. \frac{4^{-s}}{s^2} + \frac{4^{-2}}{s} \left( \log 4 + \psi(s) - \psi\left(s + \frac{1}{2}\right) \right) + 2 \cdot 3^{-\frac{1}{2}-s} {}_2F_1^{(0,1,0,0)}\left[\frac{1}{2}, \frac{1}{2} + s, \frac{3}{2}; -\frac{1}{3}\right] \right] \end{aligned}$$

- Taylor expansion around  $s = 0$

$$\frac{d\zeta_{\mathbb{L}}}{ds}(\varepsilon) - \frac{d\zeta_{\mathbb{L}_0}}{ds}(\varepsilon) = \log 48 + \mathcal{O}(\varepsilon)$$

- Quantum double well tunnel effect determinant

$$K = \frac{1}{\omega^2} \frac{\text{Det } \mathbb{L}}{\text{Det } \mathbb{L}_0} = \exp\left[\frac{d\zeta_{\mathbb{L}_0}}{ds}(0) - \frac{d\zeta_{\mathbb{L}}}{ds}(0)\right] = \frac{1}{48} \frac{1}{\omega^2}, \quad \omega^2 = \frac{1}{a^2}$$

# The heat trace expansion and zero modes

- Heat-trace asymptotic expansion, zero mode, and meromorphic structure of the zeta function

$$h_{\mathbb{L}}(\beta) - h_{\mathbb{L}_0}(\beta) = \frac{e^{-\beta v^2}}{\sqrt{4\pi}} \sum_{n=1}^{\infty} c_n(\mathbb{L}) \beta^{n-\frac{1}{2}} + \text{Erf}(v\sqrt{\beta})$$

$$\zeta_{\mathbb{L}}(s) - \zeta_{\mathbb{L}_0}(s) = \frac{1}{\sqrt{\pi}} \left(\frac{1}{v^2}\right)^s \left\{ \frac{1}{2} \sum_{n=1}^{\infty} \frac{c_n(\mathbb{L})}{v^{2n-1}} \frac{\Gamma(s+n-\frac{1}{2})}{\Gamma(s)} - \frac{\Gamma(s+\frac{1}{2})}{\Gamma(s+1)} \right\}$$

$$\begin{aligned} \frac{d\zeta_{\mathbb{L}}}{ds}(s) - \frac{d\zeta_{\mathbb{L}_0}}{ds}(s) &= \frac{1}{\sqrt{\pi}} \frac{1}{v^{2s}} \left\{ \frac{1}{2} \sum_{n=1}^{\infty} \frac{c_n(\mathbb{L})}{v^{2n-1}} \frac{\Gamma(s+n-\frac{1}{2})}{\Gamma(s)} \left( \frac{1}{s} + H_{n+s-\frac{3}{2}} - H_s + \log \frac{1}{v^2} \right) - \right. \\ &\quad \left. - \frac{\Gamma(s+\frac{1}{2})}{\Gamma(s+1)} \left( H_{s-\frac{1}{2}} - H_s + \log \frac{1}{v^2} \right) \right\} . \end{aligned}$$

- Logarithm of the partition function

$$\frac{d\zeta_{\mathbb{L}}}{ds}(\varepsilon) - \frac{d\zeta_{\mathbb{L}_0}}{ds}(\varepsilon) = \frac{1}{\sqrt{4\pi}} \sum_{n=1}^{\infty} c_n(\mathbb{L}) \frac{\Gamma(n-\frac{1}{2})}{v^{2n-1}} + \log(4v^2) + \mathcal{O}^2(\varepsilon)$$

- Asymptotic formulae for tunnel effect determinants between classically degenerate vacua

$$K = \frac{\det \mathbb{L}}{\det \mathbb{L}_0} = \exp \left[ \frac{d\zeta_{\mathbb{L}_0}}{ds}(0) - \frac{d\zeta_{\mathbb{L}}}{ds}(0) \right] = \frac{1}{4v^2} \exp \left\{ -\frac{1}{\sqrt{4\pi}} \sum_{n=1}^{\infty} \frac{\Gamma(n-\frac{1}{2})}{v^{2n-1}} c_n(\mathbb{L}) \right\}$$

$$K(N_f) = \frac{\det \mathbb{L}}{\det \mathbb{L}_0} = \exp \left[ \frac{d\zeta_{\mathbb{L}_0}}{ds}(0) - \frac{d\zeta_{\mathbb{L}}}{ds}(0) \right] = \frac{1}{4v^2} \exp \left\{ -\frac{1}{\sqrt{4\pi}} \sum_{n=1}^{N_f} \frac{\Gamma(n-\frac{1}{2})}{v^{2n-1}} c_n(\mathbb{L}) \right\}$$

# The Razavy potential: $U(z) = \frac{1}{4}(\sinh^2 z - 1)^2$

- Improved modification of the GDW expansion

$$h_{\mathbb{L}}(\beta) - h_{\mathbb{L}_0}(\beta) = \frac{e^{-\beta v^2}}{\sqrt{4\pi}} \sum_{n=1}^{\infty} c_n(\mathbb{L}) \beta^{n-\frac{1}{2}} + e^{-\beta v^2} \sum_{j=1}^N e^{\frac{j^2 v^2}{N^2} \beta} \operatorname{Erf} \left( \frac{jv}{N} \sqrt{\beta} \right) .$$

- Minima, instantons, vacuum and instanton fluctuation operators

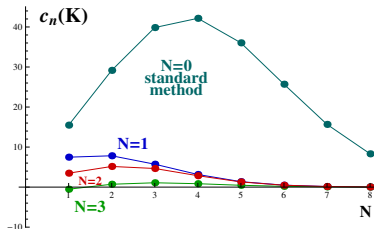
$$z^{(1)} = -\operatorname{arcsinh} 1 \quad , \quad z^{(2)} = \operatorname{arcsinh} 1 \quad , \quad \bar{z}(u) = \operatorname{arctanh} \frac{\tanh u}{\sqrt{2}}$$

$$\mathbb{L}_0 = -\frac{d^2}{du^2} + 4 \quad , \quad \mathbb{L} = -\frac{d^2}{du^2} + 2 + \frac{16}{(1 + \operatorname{sech}^2 u)^2} - \frac{14}{1 + \operatorname{sech}^2 u}$$

# The Razavy potential

- Zero mode and Seeley coefficients  $c_n(\mathbb{L})$ :  $f_0(x) = \frac{4\sqrt{2}}{\sqrt{3\sqrt{2} \operatorname{arccosh} 3 - 4(3 + \cosh(2x))}}$

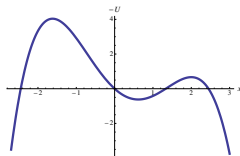
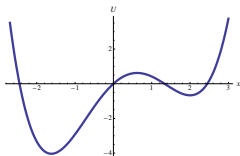
$n$	Seeley coefficients			
	$N = 0$	$N = 1$	$N = 2$	$N = 3$
1	15.4787	7.47870	3.47870	-0.521297
2	29.1604	7.82708	5.16041	0.715970
3	39.8523	5.71900	4.65234	1.083616
4	42.1618	3.15228	2.84751	0.851307
5	36.0361	1.36104	1.29331	0.457301
6	25.7003	0.482076	0.469763	0.190385
7	15.6633	0.144365	0.142469	0.0647288
8	8.314336	0.037568	0.0373159	0.0224673
9	3.90348	-0.0263172	0.00850317	-
10	1.64181	0.0018300	-	-



# False vacuum decay: $U(z) = 2z - \frac{3}{2}z^2 - \frac{1}{3}z^3 + \frac{1}{4}$

- True and false vacua:

$$\text{true } z^{(1)} = -\frac{1 + \sqrt{5}}{2}, \quad \text{false } z^{(2)} = 2$$



- The bounce and the zero mode:

$$\lim_{u \rightarrow -\infty} \bar{z}(u) = 2, \quad \bar{z}(u) = \frac{2(10 - 10e^{\sqrt{5}u} + e^{2\sqrt{5}u})}{10 + 20e^{\sqrt{5}u} + e^{2\sqrt{5}u}}, \quad \lim_{u \rightarrow +\infty} \bar{z}(u) = 2$$

$$\frac{d\bar{z}}{du} = \frac{60\sqrt{5}e^{\sqrt{5}u}(e^{\sqrt{5}u} - 10)}{(10 + 20e^{\sqrt{5}u} + e^{2\sqrt{5}u})^2}$$

# Negative mode and decay amplitude

- Fluctuation operators

$$\mathbb{L}_0 = -\frac{d^2}{du^2} + 5$$

$$\mathbb{L} = -\frac{d^2}{du^2} + 5 - \frac{2^3 \cdot 3 \cdot 5^2}{20 + 11\cosh\sqrt{5}u - 9\sinh\sqrt{5}u} \left( 1 - \frac{6}{20 + 11\cosh\sqrt{5}u - 9\sinh\sqrt{5}u} \right)$$

- The false vacua life-time

$$\Gamma = \hbar |K| e^{-\int_{-\infty}^{\infty} du \left(\frac{dx}{du}\right)^2}$$

$$|K| = \frac{|\text{Det } \mathbb{L}|}{\text{Det } \mathbb{L}_0} = \frac{1}{4v^2} \left| e^{-\frac{1}{\sqrt{4\pi}} \sum_{n=1}^{\infty} \frac{\Gamma(n-\frac{1}{2})}{v^{2n-1}} c_n(\mathbb{L})} \right|$$

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